

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Andrew Yuen Chin CHEN and Sum Fat POON

U.S. Serial No.: 10/584,421

Filed : June 23, 2006

For : CABINET DESIGN OF FILTER HOLDER FOR  
PRESSURIZED ESPRESSO MACHINES

Law Offices of Albert Wai-Kit Chan, LLC  
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January 25, 2007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, Applicant(s) would like to direct the Examiner's attention to the references listed in forms PTO/SB/08A and PTO/SB/08B attached herein as **Exhibit A**.

Applicants would like to note that the listed references have been previously disclosed to the USPTO, via Information Disclosure Statements complying with 37 CFR 1.98(a)-(c), during the prosecution of the earlier parent application, U.S. Serial No. 10/745,440, filed December 23, 2003 (Our Dkt #831-US). Accordingly, pursuant to 37 CFR 1.98(d), a copy of the listed references is not required to be submitted with this SIDS. However, Applicants invite the Examiner to contact the Applicants' undersigned attorney's office if a copy of any of the listed references is desired.

1. U.S. Patent No. 5,913,962, June 22, 1999, Gasser et al., "Espresso Brewing-Head Unit"
2. U.S. Patent No. 6,490,966 B2, December 10, 2002, Mariller et al., "Method and Device for Extracting the Contents of a Capsule"

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.R./

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U.S. Serial No. : 10/584,421  
Filing Date : June 23, 2006  
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3. U.S. Patent No. 5,794,519, August 18, 1998, Fischer, Daniel, "Brewing Head for Coffee Portion Capsules of an Espresso Machine"
4. U.S. Patent No. 5,622,099, April 22, 1997, Frei, Hans-Peter, "Brewing Head of an Espresso Maker"
5. U.S. Patent No. 6,698,332, March 2, 2004, Kollep, et al., "Device for Extracting a Substance for the Preparation of a Drink"
6. U.S. Patent No. 1,750,068, March 11, 1930, Torriani, Angelo, "Express Coffee-Machine Set"
7. U.S. Patent No. 5,649,472, July 22, 1997, Pleisch, et al., "Extracting of a Substance Contained Sachet"
8. U.S. Patent No. 6,481,338, November 19, 2002, Wai, et al., "Spoon Shaped Coffee Brewing Apparatus"
9. U.S. Patent No. 6,510,783, January 28, 2003, Basile, et al., "Machine to Produce Espresso Machine"
10. U.S. Patent No. 6,161,469, December 19, 2000, Rolla, Alberto, "Espresso Machine"
11. U.S. Patent No. 6,345,570, February 12, 2002, Santi, Giuseppe, "Device for feeding Precompressed single-serving pods in machines for the production of espresso coffee beverages"
12. U.S. Patent No. 5,103,716, April 14, 1992, Mikkelsen, Per W., "Apparatus for Brewing Beverages in Portions, Especially Espressor coffee"

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Filing Date : June 23, 2006  
Page : 3

13. U.S. Patent No. 6,935,222, August 30, 2005, Chen, et al., "Locking Device Sustaining High Pressure for Coffee Maker Lid"

14. D.E. Patent No. 19647039, July 31, 1997, J.J. Darboven GMBH & Co., "Coffee Machine"

15. E.P. Patent No. 0555775 A1, August 18, 1993, Domel SA AG, "A Drawer Device With a Non-rotating Container/Dispenser for Espresso Coffee Apparatus"

16. E.P. Patent No. 0838185 A1, April 29, 1998, Esseguelle S.R.L., "Espresso Coffee Machine"

17. G.B. Patent No. 811,979, April 15, 1959, Hatz, Alfred, "Improvements in or Relating to Appliances for Preparing Coffee"

18. International Publication No. WO 02/091889 A2, November 21, 2002, De Longhi S.p.A., "Filtering-Holding Cup for a Coffee Machine and Procedure for Producing a Drink of Coffee"

19. International Publication No. WO 03/055366 A2, July 10, 2003, Koninklijke Philips Electronics N.V., "Beverage Device for Making a Beverage with a Foam Layer on Top"

20. E.P. Application No. EP 1016364 A2, December 17, 1999, Antica Torref. Del Borgo Bardassano snc di Boido Aldo e C., "Device and Process for the Formation of Cream, usable on Machines for the Preparation of Infusions, in Particular Coffee".

21. D.E. Patent Publication No. DE 10247573, April 30, 2004, De' Longhi S.P.A., "Method for Introducing Froth into Filter Coffee has Coffee Atomizer and Air

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.R./

Applicants : Andrew Yuen Chin CHEN and Sum Fat POON  
U.S. Serial No. : 10/584,421  
Filing Date : June 23, 2006  
Page : 4

Inlet Channel to Mix Coffee Droplets with Inducted Air"

22. European Search Report for Electrical & Electronics Limited, European Application No. EP04002983, Filed February 11, 2004 Dated August 12, 2004
23. European Search Report for Electrical & Electronics Limited, European Application No. 03029686.7, Filed December 23, 2003, Dated June 9, 2004
24. International Search Report for Electrical & Electronics Limited, International Publication No. WO 05/063092, published July 21, 2005, Dated June 23, 2006
25. Written Opinion of the International Searching Authority for Electrical & Electronics Limited, International Publication No. WO 05/063092, published July 21, 2005, Dated June 23, 2006
26. European Patent Office Communication for Electrical & Electronics Limited, European Application No. 03029686.7, Filed December 23, 2003, Dated November 9, 2005
27. U.S. Patent No. 5,111,740, May 12, 1992, Klein, Peter, "Electrical Apparatus Useful to Prepare a Hot Beverage"
28. U.S. Patent No. 5,388,502, February 14, 1995, Hufnagl, Walter, "Espresso Coffee Machine"
29. U.S. Patent No. 5,992,298, November 30, 1999, Illy, et al., "Coffee Machine"

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Filing Date : June 23, 2006  
Page : 5

30. U.S. Patent No. 6,748,850, June 15, 2004, Krann,  
Diederik, "Apparatus For Preparing Coffee"

If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicants' undersigned attorney invites the Examiner to telephone him at the number provided below.

No fee is deemed necessary in connection with the filing of this information disclosure statement. However, if any fee is required, authorization is given to charge the amount of any such fee to Deposit Account No. 50-1891.

Respectfully submitted,



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# Exhibit A

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

**Complete if Known**

Application Number	10/584,421
Filing Date	June 23, 2006
First Named Inventor	Andrew Yuen Chin CHEN
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	831-B-PCT-US

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/D.R./	1	US- 5,913,962	06-22-1999	Gasser, et al.	
	2	US- 6,490,966	12-10-2002	Mariller, et al.	
	3	US- 5,794,519	08-18-1998	Fischer, Daniel	
	4	US- 5,622,099	04-22-1997	Frei, Hans-Peter	
	5	US- 6,698,332	03-02-2004	Kollep, et al.	
	6	US- 1,750,068	03-11-1930	Torriani, Angelo	
	7	US- 5,649,472	07-22-1997	Pleisch, et al.	
	8	US- 6,481,338	11-19-2002	Wai, et al.	
	9	US- 6,510,783	01-28-2003	Basile, et al.	
	10	US- 6,161,469	12-19-2000	Rolla, Alberto	
	11	US- 6,345,570	02-12-2002	Santi, Giuseppe	
	12	US- 5,103,716	04-14-1992	Mikkelsen, Per W.	
	13	US- 6,935,222	08-30-2005	Chen, et al.	
	27	US- 5,111,740	05-12-1992	Klein, Peter	
	28	US- 5,388,502	02-14-1995	Hufnagl, Walter	
	29	US- 5,992,298	11-30-1999	Illy, et al.	
/D.R./	30	US- 6,748,850	06-15-2004	Kraan, Diederik	
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document. Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
/D.R./	14	DE 19647039 A	07-31-1997	J.J. Darboven GMBH & Co.		
	15	EP 0555775 A1	08-18-1993	Domel SA AG		
	16	EP 0838185 A1	04-29-1998	Essegielle S.R.L.		
	17	GB 811,979	04-15-1959	Hatz, Alfred		
	18	WO 02/091889 A2	11-21-2002	De Longhi S.p.A.		
/D.R./	19	WO 03/055366 A2	07-10-2003	Koninklijke Philips Electronics N.V.		

Examiner Signature	/Daniel Robinson/	Date Considered	11/14/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	2	of	3
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**Complete if Known**

Application Number	10/584,421
Filing Date	June 23, 2006
First Named Inventor	Andrew Yuen Chin CHEN
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	831-B-PCT-US

## U. S. PATENT DOCUMENTS

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**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
/D.R./	20	EP 1016364 A2	12-17-1999	Antica Tonel Dal Borgo Bardassano snc di Bardo Aldo e C.		
/D.R./	21	DE 10247573 A	04-30-2004	De' Longhi S.P.A.		

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Attorney Docket Number	831-B-PCT-US

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**OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/D.R./	22	European Search Report for Electrical & Electronics Limited, European Application No. EP04002983, Filed February 11, 2004 Dated August 12, 2004	
/D.R./	23	European Search Report for Electrical & Electronics Limited, European Application No. 03029686.7, Filed December 23, 2003, Dated June 9, 2004	
/D.R./	24	International Search Report for Electrical & Electronics Limited, International Publication No. WO 05/063092, published July 21, 2005, Dated June 23, 2006	
/D.R./	25	Written Opinion of the International Searching Authority for Electrical & Electronics Limited, International Publication No. WO 05/063092, published July 21, 2005, Dated June 23, 2006	
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Examiner Signature	/Daniel Robinson/	Date Considered	11/14/2008
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